

Patent
Attorney Docket: 256/158



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

WILLIAMS, David R. et al.

Serial No.: 09/755,763

Filed: January 5, 2001

**For: PEN-BASED HANDWRITTEN
CHARACTER RECOGNITION AND
STORAGE SYSTEM**

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR § 1.97(b)**

Commissioner for Patents
Washington, D.C. 20231

In compliance with the Applicant's duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this Information Disclosure Statement may or may not be “material” pursuant to 37 CFR § 1.56 and the submission thereof by Applicant shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as “prior art” under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 12, 2001
Date of Deposit
LA-198443.1

Reynaldo Gallardo

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR § 1.56, exists.


Certification under 37 C.F.R. §1.97(e)(1)

It is hereby certified that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application (specifically, the related PCT application) not more than three months prior to the filing of this information disclosure statement.

The attached Information Disclosure Statement and the attached Form-1449 are being filed in accordance with 37 CFR §§ 1.97 and 1.98. This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b)(3), that is before the mailing of a first Office Action on the merits; thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this a petition and is hereby authorized to charge any fee required by the filing of these papers to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

By: 
David H. Ben-Meir
Reg. No. 46,152

Dated: June 12, 2001



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LYON & LYON LLP
633 W. Fifth Street, Suite 4700
Los Angeles, CA 90071